

# 1   **Photosynthesis in Rivers as a Loss Pathway for ERW-Derived DIC and Alkalinity**

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## 10   **Abstract**

11   As climate mitigation efforts lag, dependence on anthropogenic CO<sub>2</sub> removal increases.  
12   Enhanced rock weathering (ERW) is a rapidly growing CO<sub>2</sub> removal approach. In terrestrial  
13   ERW, crushed rocks are spread on land where they react with CO<sub>2</sub> and water, forming  
14   dissolved inorganic carbon (DIC) and alkalinity. For long-term sequestration, these  
15   products must travel through rivers to oceans, where carbon remains stored for over  
16   10,000 years. Carbon and alkalinity can be lost during river transport, reducing ERW  
17   efficacy. However, the ability of biological processes, such as aquatic photosynthesis, to  
18   affect the fate of DIC and alkalinity within rivers has been overlooked. Our analysis  
19   indicates that within a stream-order segment, aquatic photosynthesis uptakes 1% – 30% of  
20   DIC delivered by flow for most locations. The effect of this uptake on ERW efficacy,  
21   however, depends on the cell-membrane transport mechanism and the fate of  
22   photosynthetic carbon. Different pathways can decrease just DIC, DIC and alkalinity, or  
23   just alkalinity, and the relative importance of each is unknown. Further, data show that  
24   expected river chemistry changes from ERW may stimulate photosynthesis, amplifying the  
25   importance of these biological processes. We argue that estimating ERW's carbon  
26   sequestration potential requires consideration and better understanding of biological  
27   processes in rivers.

## 28   **Main**

29   Carbon dioxide removal (CDR) has become an increasingly prevalent tool in IPCC modeled  
30   mitigation pathways that limit global warming to 1.5 or 2 °C relative to the preindustrial  
31   period.<sup>1</sup> There is still vast uncertainty and debate around the need, cost, efficacy,  
32   scalability, and ethics of using CDR to meet climate objectives.<sup>2-4</sup> Nonetheless, the  
33   likelihood of CDR dependence grows with the ongoing failure of pledged and actualized  
34   emissions reductions to meet what is needed to limit warming<sup>5</sup>. In response, the CDR  
35   industry is growing rapidly as it prepares to meet future demand.<sup>6,7</sup>

36   In the durable CDR landscape — i.e., carbon removal for >1000 years — enhanced rock  
37   weathering (ERW) is an emerging and rapidly growing approach. Currently, eighteen ERW  
38   companies exist and ~19% of the total tons of carbon sold in the durable carbon market is  
39   attributed to ERW.<sup>6</sup> However, the CDR potential of ERW at scale is uncertain.<sup>8</sup> Given the

40 proliferating CDR landscape and increasing use of ERW as a carbon offset tool, it is crucial  
41 to fully understand the approach's efficacy in order to avoid emitting more carbon than is  
42 actually sequestered.

43 In ERW, silicate or carbonate rocks are crushed and spread on land — usually agricultural  
44 fields — where the minerals react with CO<sub>2</sub> and water to form bicarbonate (HCO<sub>3</sub><sup>-</sup>) and  
45 cations. For ERW to successfully and durably remove CO<sub>2</sub>, the weathering products must  
46 reach a long-term reservoir. For most deployments, that reservoir is the ocean where the  
47 residence time of carbon is long enough (>10,000 years<sup>9</sup>) to offset fossil emissions, which  
48 stay in the atmosphere for 1000's of years.<sup>10</sup>

49 The journey from fields, where weathering occurs, to the ocean, where sequestration  
50 occurs, involves streams, rivers, lakes, and reservoirs. In these freshwater systems, many  
51 processes can pull or release weathering products from water, altering the amount  
52 delivered to the ocean. Thus far, the ERW community has only considered the ability of  
53 abiotic processes — namely carbonate precipitation<sup>11-13</sup> and CO<sub>2</sub> degassing<sup>14</sup> — to  
54 facilitate the loss of ERW products within rivers.

55 We contend that biological processes — namely photosynthesis — by submerged plants  
56 and algae represent an important but overlooked loss pathway for ERW products in  
57 freshwater systems. Quantifying the impact of biological processes on carbon and  
58 alkalinity budgets is necessary to correctly estimate net CDR associated with ERW.

59 Here we briefly review ERW as a CDR technology, use existing data from river systems to  
60 explore the importance of aquatic photosynthesis on carbon budgets, and consider how  
61 large-scale deployment of ERW could feedback and alter biological processes.

## 62 **Enhanced Rock Weathering as CDR Technology**

63 Carbonic-acid weathering of carbonate and silicate minerals is one of the natural  
64 processes that controls CO<sub>2</sub> concentrations in the atmosphere. It is estimated that,  
65 currently, natural rock weathering on land removes ~ 1 GtCO<sub>2</sub> yr<sup>-1</sup> from the atmosphere.<sup>15</sup>  
66 The goal of ERW is to augment and speed up this natural weathering process by crushing  
67 and spreading rocks. Assuming the weathered products enter the ocean, both reactions  
68 hold potential to remove CO<sub>2</sub> from the atmosphere on timescales of interest to humans  
69 (i.e., hundreds to thousands of years).<sup>9,13</sup>

70 Ultimately, carbonic-acid weathering converts CO<sub>2</sub> gas into dissolved inorganic carbon  
71 (DIC) and generates alkalinity. We distinguish between DIC and alkalinity because, while  
72 the two are tightly coupled, there are processes that can affect one while not influencing  
73 the other. Both are important for CDR. ERW-generated DIC delivered to the deep ocean is  
74 the metric of interest for sequestration. Alkalinity affects DIC when waters equilibrate with  
75 CO<sub>2</sub> in the atmosphere, but full equilibration does not always occur.<sup>16-18</sup>

76 There have been multiple theoretical assessments of the carbon-sequestration potential  
77 of ERW on agricultural fields<sup>19-22</sup> as well as analyses of potential life-cycle carbon budgets  
78 associated with mining, transporting, crushing, and spreading rock.<sup>23-25</sup> These analyses

79 have concluded that ERW on agricultural fields has potential to sequester a meaningful  
80 amount of carbon (0.5 to 3 Gt CO<sub>2</sub> year<sup>-1</sup>). However, many of these ERW CDR estimates did  
81 not account for loss of carbon and/or alkalinity in rivers as ERW products travel from fields  
82 to ocean. Estimates that included river losses only considered abiotic processes or were  
83 process-agnostic. The impact of river biology was neglected.

#### 84 **Inorganic Carbon in River Systems**

85 Measurements of total DIC in rivers represent a snapshot of dynamic bio-physical and  
86 chemical interactions that are constrained by the buffering capacity of the aquatic  
87 environment.<sup>16</sup> Upon entering open waters, DIC can be lost to the atmosphere as CO<sub>2</sub>,<sup>26</sup>  
88 incorporated into submerged aquatic biomass through the uptake of either CO<sub>2(aq)</sub> or HCO<sub>3</sub><sup>-</sup>  
89 during photosynthesis,<sup>27</sup> remain dissolved and exported downstream, or removed from  
90 solution through carbonate precipitation<sup>28</sup> (Figure 1a-c).

91 The relative importance of each loss pathway is a function of the physical environment  
92 (light, temperature, gas exchange velocity, channel slope, and stream flow), the biological  
93 composition of aquatic and riparian primary producers that alter aqueous chemical  
94 conditions (pH, alkalinity), and the inputs of geochemically complex groundwater. Perhaps  
95 the strongest control on the fate and transport of DIC is hydrology. Water velocity controls  
96 the residence time of carbon<sup>29</sup> and the rate of CO<sub>2</sub> degassing.<sup>30</sup> Seasonal changes in run-off  
97 can drive extreme shifts in the magnitude of CO<sub>2</sub> emissions across stream networks.<sup>31</sup>

98 Here we recognize that rivers drive toward equilibrium but often never reach it,<sup>16,17</sup> and are  
99 often not at steady state, particularly smaller tributaries.<sup>32,33</sup> Thus, our discussion  
100 considers how riverine biological processes can affect DIC and alkalinity within rivers, but  
101 it does not presume how these biologically driven changes in turn affect other processes  
102 occurring within the river, such as degassing and carbonate precipitation.

#### 103 **Aquatic Photosynthesis and Respiration**

104 Aquatic photosynthesis requires uptake of either dissolved CO<sub>2</sub> or bicarbonate —  
105 submerged plants and algae cannot access atmospheric CO<sub>2</sub> for photosynthesis. The  
106 bicarbonate carbon fixation pathway dominates over the CO<sub>2(aq)</sub> fixation pathway in river  
107 systems with high bicarbonate concentrations.<sup>34,35</sup> In fact, the proportion of HCO<sub>3</sub><sup>-</sup> vs  
108 CO<sub>2(aq)</sub> affects aquatic plant assemblages. Systems that experienced increases in available  
109 HCO<sub>3</sub><sup>-</sup> through agricultural liming shifted species composition to those most able to  
110 actively take up HCO<sub>3</sub><sup>-</sup> for photosynthesis.<sup>36,37</sup>

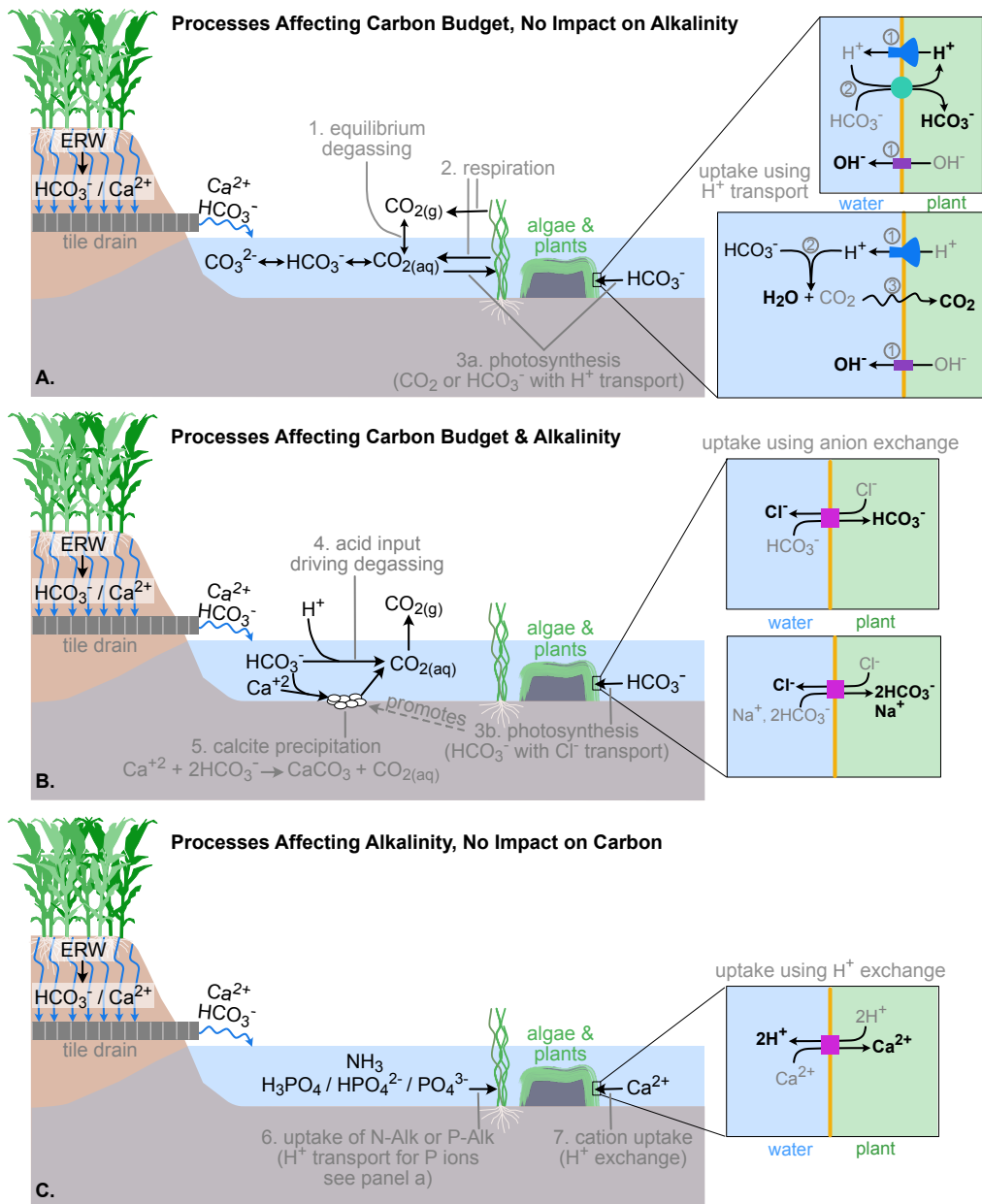
111 Uptake of charged ions, such as bicarbonate, by plants requires transport of other ions  
112 across cell walls to maintain internal pH balance and ionic equilibrium. Figure 1 illustrates  
113 the various HCO<sub>3</sub><sup>-</sup> uptake pathways that are known to exist for aquatic macroalgae and  
114 seagrass.<sup>38</sup> Membrane transport of bicarbonate by vegetation is not well documented.<sup>38</sup>  
115 One set of known uptake pathways involves active transport of H<sup>+</sup> out of the cell followed  
116 by passive outward transport of OH<sup>-</sup> (Figure 1a). The H<sup>+</sup> then is either co-transported back  
117 into the cell with HCO<sub>3</sub><sup>-</sup> or it reacts with HCO<sub>3</sub><sup>-</sup> and generates CO<sub>2</sub> that then diffuses

118 through the cell membrane. Both carbon uptake pathways remove DIC from the river water  
119 but have no impact on alkalinity.

120 The other set of known carbon uptake pathways involves anion exchange across the cell  
121 membrane (Figure 1b). A chloride ion exits the cell while either a single bicarbonate or two  
122 bicarbonates and a sodium ion enter the cell. This uptake pathway reduces DIC and  
123 alkalinity in the surrounding river water.

124 Aquatic plant productivity has additional indirect impacts on river DIC and alkalinity. First,  
125 benthic algae promote calcite precipitation, which decreases both DIC and alkalinity  
126 (Figure 1b); the algal mats provide a surface for crystal growth while mat photosynthesis  
127 increases pH and the saturation index of calcite in local microenvironments.<sup>39,40</sup> Second,  
128 associated uptake of nitrogen ( $\text{NH}_3$ ) and phosphorous ( $\text{H}_3\text{PO}_4$ ,  $\text{H}_2\text{PO}_4^-$ ,  $\text{HPO}_4^{2-}$ ,  $\text{PO}_4^{3-}$ ) by  
129 plants can affect the non-carbonate components of alkalinity. Finally, in addition to  
130 nitrogen and phosphorous, plants require cations and uptake of these can be coupled with  
131 the release of  $\text{H}^+$  out of the cell<sup>41</sup> (Figure 1c). If  $\text{H}^+$  is released, it reduces alkalinity in the  
132 surrounding water. However, cation uptake is not always coupled with  $\text{H}^+$  release.  
133 Sometimes, uptake of a given cation, like  $\text{Ca}^{2+}$ , is coupled with the release of another non-  
134 acidic cation, like  $\text{Na}^+$ ,<sup>41</sup> which will not alter alkalinity.

135 Uptake pathways used by aquatic vegetation, as illustrated by Figure 1, strongly determine  
136 the impact plant productivity has on DIC and alkalinity in rivers. However, it is extremely  
137 difficult to discern the actual membrane transport pathways used in a field setting;  
138 detailed, cell-level investigations are most likely required. In the context of ERW, a  
139 conservative approach is to assume that all photosynthetic activity reduces both DIC and  
140 alkalinity (i.e., plants are using bicarbonate uptake via anion exchange) and all cation  
141 uptake reduces alkalinity (i.e., plants take up cations using  $\text{H}^+$  exchange) in rivers.



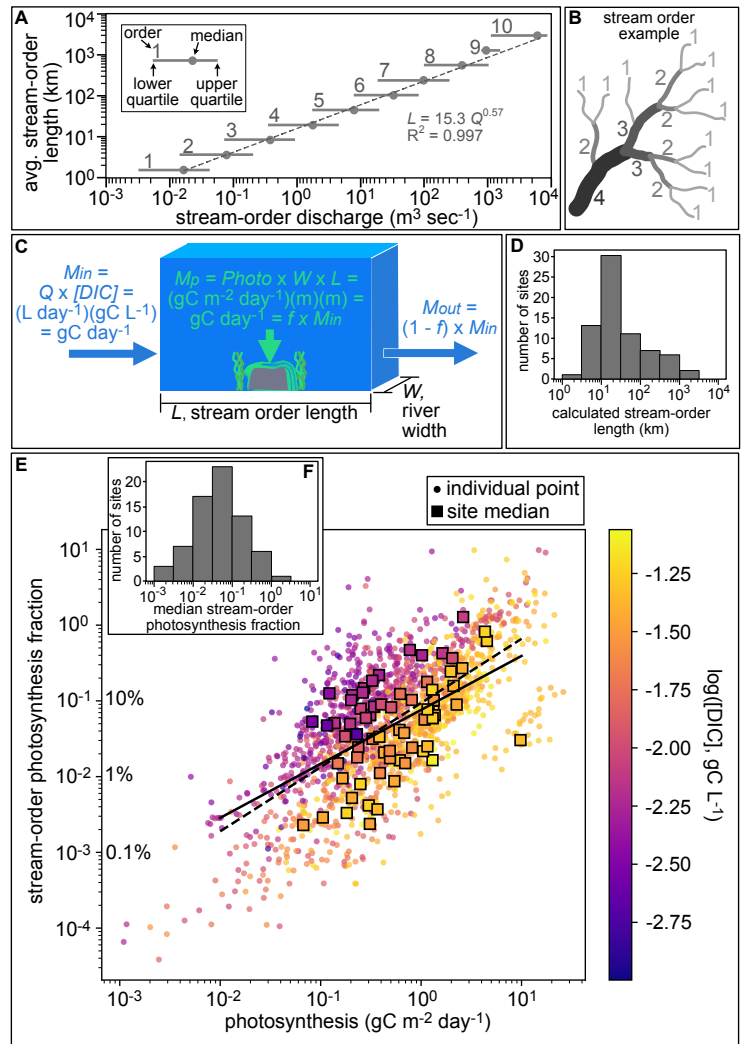
**Figure 1:** Riverine processes affecting weathering products. Processes are numbered for reference. **A.** Processes that affect dissolved inorganic carbon concentrations, but not alkalinity: 1. equilibrium degassing, 2. respiration, and 3a. photosynthesis where either dissolved CO<sub>2</sub> is used or bicarbonate use is coupled with H<sup>+</sup> transport across the cell membrane. **B.** Processes that affect both dissolved inorganic carbon concentrations and alkalinity: 4. acid inputs that drive degassing, 5. calcite precipitation, and 3b. photosynthesis where bicarbonate uptake occurs via anion exchange. **C.** Process that do not affect dissolved inorganic carbon concentrations but do affect alkalinity: 6. uptake of nitrogen and phosphorous species that contribute to alkalinity (i.e., N-alkalinity and P-alkalinity) — phosphorous ions are transported across the cell membrane using H<sup>+</sup> transport, as illustrated in panel A — and 7. cation uptake by aquatic plants and algae that is coupled with H<sup>+</sup> transport across the cell membrane.

143 **The Magnitude of Aquatic Photosynthesis Relative to DIC Transport**

144 Recent advances in sensor  
 145 technology and modeling  
 146 approaches have allowed  
 147 scientists to estimate river gross  
 148 primary production (GPP) across  
 149 hundreds of rivers.<sup>42</sup> We  
 150 harnessed this dataset along  
 151 with USGS stream chemistry  
 152 data<sup>43</sup> to determine the  
 153 magnitude of plant productivity  
 154 and to put its influence in  
 155 context.

156 The two datasets shared at least  
 157 three overlapping time points at  
 158 70 USGS gaging stations; the  
 159 median number of overlapping  
 160 points was 16. The combined  
 161 dataset included 1600 datapoints  
 162 spanning from 2007 to 2016. The  
 163 GPP dataset reports GPP in terms  
 164 of O<sub>2</sub> concentrations. Although  
 165 photosynthetic quotients have  
 166 been shown to vary between 0.8  
 167 and 1.2,<sup>44</sup> here we assumed a 1:1  
 168 molar ratio between CO<sub>2</sub> and O<sub>2</sub>.

169 We used a stream-order  
 170 framework (Figure 2b) to compare  
 171 the rate of carbon transport to  
 172 that of photosynthesis. We  
 173 harnessed the power-law  
 174 relationship between average  
 175 stream-order length and median  
 176 discharge<sup>45,46</sup> (Figure 2a) to  
 177 calculate a stream-order length  
 178 for each gage location (Figure 2d).  
 179 With this length set, we  
 180 calculated a stream-order  
 181 photosynthesis fraction for each  
 182 time point. The stream-order  
 183 photosynthesis fraction was  
 184 defined as the ratio between the



**Figure 2:** Magnitude of aquatic photosynthesis quantified using a stream-order mass-balance framework. **A.** Power-law relationship between average stream-order length and stream-order discharge based on published literature.<sup>50,51</sup> **B.** Example stream-order network. **C.** Mass balance calculation producing stream-order photosynthesis fraction ( $f$ ), which is the ratio between the amount of carbon removed by photosynthesis within the stream-order section to the amount of carbon delivered to the stream-order section by water flow. **D.** Histogram of stream-order lengths calculated for the dataset using median discharge rates and the relationship in panel A. **E.** Resulting stream-order photosynthesis fraction versus photosynthesis rate. Colors indicate DIC concentrations. Circles are individual data points and squares are site medians. The solid line marks the power-law relationship for individual points ( $R^2 = 0.5$ ). Dashed line marks the power-law relationship for site medians ( $R^2 = 0.3$ ). **F.** Histogram of stream-order photosynthesis fraction for site medians.

185 amount of carbon removed by photosynthesis within the stream-order section to the  
186 amount of carbon delivered to the stream-order section by water flow (Figure 2c).  
187 Resulting fractions are plotted in Figure 2e and f.

188 The stream-order photosynthesis fraction for individual time points ranged from less than  
189 0.01% to greater than 1000%. The median fraction for gage sites ranged from 0.2% to  
190 125%, with most sites falling between 1% and 30% (Figure 2f). These results indicate that  
191 photosynthesis can process a notable portion of the carbon moving through a stream  
192 order. The fraction processed increased as photosynthesis rates increased and DIC  
193 concentrations decreased. In fact, the stream-order photosynthesis fraction and  
194 photosynthesis rate had a positive power-law relationship ( $R^2 = 0.48$  for individual points,  
195 solid black line,  $R^2 = 0.30$  for site medians, dashed black line, Figure 2e). The  
196 photosynthesis fraction did not systematically vary across stream orders; longer stream-  
197 order lengths were not associated with a greater fraction of carbon processed by  
198 photosynthesis.

199 The calculated fractions apply to a single stream order. A river is composed of series of  
200 stream orders. For a given carbon input, it is possible to estimate the total fraction taken  
201 up by photosynthesis across a series stream orders by assuming a perfectly ideal river  
202 network (e.g., Figure 2b) and no cycling of carbon taken up by plants. In this simplified  
203 situation, the fraction leaving a given stream order is 1 minus the stream-order  
204 photosynthesis fraction (Figure 2c). This is then the amount entering the next higher stream  
205 order within which photosynthesis can uptake an additional fraction of the original carbon  
206 input. Thus, total fractional uptake of a given carbon input due to photosynthesis across  $n$   
207 stream orders can be calculated as:  $F_n = 1 - (1-f_1)(1-f_2)(1-f_3)\dots(1-f_n)$ , where  $f_n$  is the  
208 photosynthesis fraction within the  $n^{\text{th}}$  stream order. With this framework, relatively small  
209 fractions within individual stream orders can grow to a large total photosynthesis fraction  
210 across a river network. For example, if a single carbon input travels through 10 stream  
211 orders and plants take up 5% of the received input in each stream order (i.e., the network  
212 has a consistent 0.05 stream-order photosynthesis fraction), by the end of journey, 40% of  
213 the original input will be lost from the water due to plant uptake.

214 The effect of aquatic photosynthesis on ERW-generated DIC and alkalinity depends on the  
215 membrane transport pathway used by the plant during carbon uptake (Figure 1a,b) as well  
216 as on the occurrence of processes associated with photosynthesis, such as calcite  
217 precipitation (Figure 1b) and uptake of nutrients or cations (Figure 1c). The net effect, in  
218 turn, that these biologically driven DIC and alkalinity shifts have on CDR efficacy depends  
219 on what happens to the vegetation (discussed in the next section) and the impact these  
220 DIC and alkalinity shifts have on other riverine processes (e.g.,  $\text{CO}_2$  air-water exchange).

## 221 **Fate of Carbon and Alkalinity in Aquatic Vegetation**

222 Carbon and alkalinity taken up by aquatic vegetation is stored as plant biomass. Biomass  
223 is subsequently lost from plants through respiration, degradation, or consumption by  
224 herbivores. The fate of plant carbon and alkalinity differs depending on which of these  
225 processes occurs and if the vegetation is covered by water. Aquatic vegetation can cycle

226 between submersion and exposure to the atmosphere as river levels change; remarkably  
227 more than half of US runoff is sourced from ephemeral streams.<sup>33</sup>

228 While the vegetation is alive, it releases carbon as CO<sub>2</sub> during respiration. If the vegetation  
229 is covered by water, respired CO<sub>2</sub> re-enters the water, returning carbon, but not alkalinity,  
230 to the river system (Figure 1a). If instead respiration occurs when the vegetation is not  
231 covered by water, respired CO<sub>2</sub> directly enters the atmosphere. Degradation is similar to  
232 respiration in that CO<sub>2</sub> is released either back into river water or the atmosphere depending  
233 on if the plant matter is covered by water. However, full degradation also releases  
234 alkalinity, represented by the excess cations stored in the plant biomass (Figure 1c), back  
235 into the river channel. If instead aquatic biomass is consumed by aquatic or terrestrial  
236 herbivores, its carbon and alkalinity are transferred to the consuming organism. At this  
237 point, tracking the carbon and alkalinity becomes highly challenging because they can  
238 continue moving through either the aquatic or terrestrial food web.

239 Of these fates, only aquatic degradation fully reverses the removal of DIC and alkalinity  
240 from the stream associated with aquatic photosynthesis. The other fates either drive  
241 carbon emissions (directly or indirectly) or move DIC and alkalinity out of the stream and  
242 into food webs where they are nearly impossible to track. Given these outcomes, we  
243 contend that when aquatic plants uptake DIC and alkalinity generated by ERW, a portion of  
244 it likely never reaches the ocean. The remainder that does reach the ocean is delayed  
245 relative to water flow due to time spent incorporated in aquatic biomass.

246 These results are relevant for ERW carbon crediting. Credits should only be awarded once  
247 the carbon is durably stored or when there is a high degree of confidence that it will not be  
248 released before it reaches the durable reservoir. Carbon that is returned to the “fast”  
249 carbon cycle, where CO<sub>2</sub> is taken up and released by organic matter, should not count as  
250 removal until more is known about the fate of photosynthetic carbon. Standard practice  
251 today assumes a ~15% loss of ERW-generated carbon during transport between the field  
252 and ocean;<sup>47</sup> our analysis indicates the actual losses are potentially higher and hard to  
253 predict.

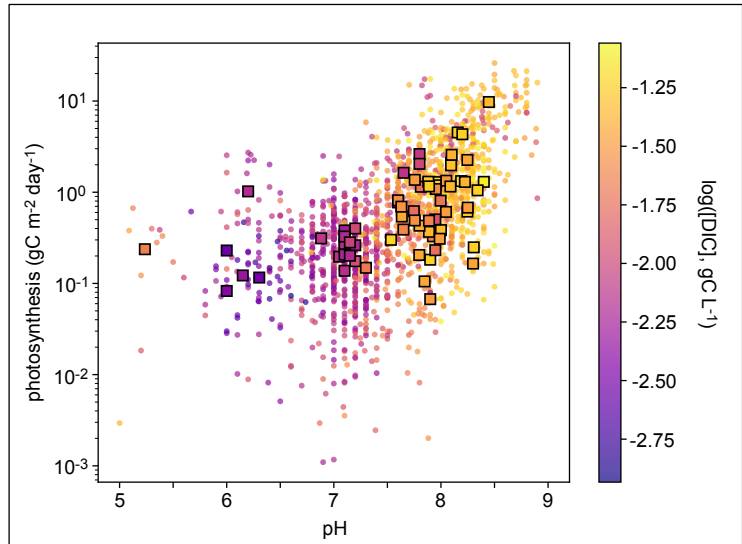
#### 254 **Response of Photosynthesis to ERW-Induced River Chemistry Changes**

255 Today, ERW deployments are small and sparse enough that weathering products are  
256 essentially undetectable once they reach a river. In this situation, we can assume that  
257 ERW products behave and partition similarly to background riverine DIC and alkalinity.  
258 However, as ERW scales, it will begin to have measurable impacts on river chemistry, in  
259 particular, increases in DIC concentrations and alkalinity, and changes in pH.<sup>48</sup> Such  
260 chemical changes can feedback and impact the biological processes discussed above.

261 Figure 3 shows existing relationships between photosynthesis rates, pH, and DIC  
262 concentrations. Photosynthesis rates tend to increase as pH and DIC concentrations  
263 increase. This trend could reflect a general photosynthesis response to higher DIC  
264 concentrations, or a specific response to increased bicarbonate concentrations. However,  
265 other explanations exist. For example, pH can affect sorption of elements to sediment. In



266 particular, phosphorous, a key  
267 nutrient for biological activity, has  
268 been shown to sorb less to  
269 sediment and remain more  
270 dissolved in water at higher pH  
271 values.<sup>49</sup> It is also possible that  
272 the data are showing the existing  
273 impact of agricultural runoff, with  
274 higher pH, DIC, and nutrient  
275 concentrations,<sup>50</sup> on aquatic  
276 photosynthesis. Regardless of  
277 the mechanisms, Figure 4  
278 indicates that chemical changes  
279 in rivers induced by ERW hold  
280 potential to enhance the rate at  
281 which plants process carbon and  
282 alkalinity. The net impact of this  
283 chemical-biological feedback on  
284 the fate of ERW products in rivers is hard to predict.



**Figure 3:** Photosynthesis rate versus pH. Color indicates DIC concentrations. Circles are for individual data points. Squares are for site medians.

## 285 Summary

286 The analyses demonstrate that riverine biological processes should not be ignored by the  
287 ERW community. At most locations within our dataset, aquatic photosynthesis currently  
288 processes 1% to 30% of DIC moving through a stream-order section (Figure 2). The  
289 cumulative proportion processed by plants quickly increases as photosynthesis operates  
290 across an entire stream network composed of multiple stream orders. Our analysis also  
291 indicated that as ERW scales, the anticipated changes to downstream water chemistry will  
292 likely feedback and enhance aquatic photosynthesis. The fate of DIC and alkalinity  
293 removed from the stream by aquatic photosynthesis is unclear and should be an area of  
294 further study. We contend that, most likely, a portion of DIC and alkalinity transformed into  
295 aquatic biomass never makes it into a durable storage reservoir, introducing uncertainty to  
296 the estimates of downstream losses that are currently used for crediting.

297

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